

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-----------------------|----------------------------|-----------|-----------------|
| Applicant(s): | Garlich, Joseph R., et al. | Art Unit: | TBA |
| Int. Application No.: | PCT/US2005/011626 | Examiner: | TBA |
| Conf. No.: | TBA | Title: | PTEN INHIBITORS |
| Int. Filing Date: | 06 April 2005 | | |

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 AND 1.98**

Dear Sir:

In compliance with 37 C.F.R. § 1.97 and the continuing duty of disclosure under 37 C.F.R. § 1.56, Applicants call to the attention of the Examiner the references listed on the attached forms. It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on the attached forms be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.97(g), this Information Disclosure Statement is not to be construed as a representation that a search has been made. In accordance with 37 C.F.R. § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement does not include copies of cited U.S. patents and U.S. patent publications pursuant to 37 C.F.R. § 1.98(a)(2).

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b)(3). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct the fees from Deposit Account No. 50-1662 referencing the above docket number.

Respectfully submitted,

POLSINELLI SHALTON WELTE SUELTHAUS PC

Dated: October 6, 2006

By: /Teddy C. Scott, Jr., Ph.D./
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Considered

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Not for submission under 37 CFR 1.99)

| | |
|------------------------|--------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Joseph R. Garlich |
| Art Unit | TBA |
| Examiner Name | TBA |
| Attorney Docket Number | 050990.0201.PCUS02 |

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☒ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|------------|------------------|---------------------|------------|
| Signature | /Teddy C. Scott/ | Date (YYYY-MM-DD) | 2006-10-06 |
| Name/Print | Teddy C. Scott | Registration Number | 53,573 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND COPIES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**